

**Assurance Report for ERW Joint Committee**

12.10.18	<p>Budget Report</p> <p>“that the issue of what action can be taken in the event of Neath Port Talbot County Council not paying its share of the £250k Local Authority Contribution for 2018-19 to be left in abeyance. NPT CBC’s position to be noted.</p>	<p>Noted in Advisory Board 21.1.19.</p>	
16/7/18	<p>Non Compliance Report:</p> <p>Agreed</p> <p>That this matter be deferred to a future Joint Committee meeting following the endorsement of the ERW Business Plan (by Welsh Government), in the meantime;</p> <p>Officer to continue with the current funding formula for all schools;</p> <p>To instruct the Managing Director to negotiate interim arrangements with Welsh Government whilst the development work is put in place in order to access grant for schools’</p>	<p>ERW Business Plan now endorsed by Joint Committee and Welsh Government.</p> <p>Grant has now been released, funding released to schools.</p>	

	Schools to be informed by individual LA S151 Officers.	LA S151 Officers notified, schools informed. Grant release issue now resolved.	
16/7/18	<p>Reviewing the SLAs and In-Kind Arrangements</p> <p>Agreed that:</p> <p>A report providing information on insurance matters be brought to the next Joint Committee</p> <p>Until then, the present SLAs and in-kind arrangements are to continue</p> <p>Expressions of interest for in-kind arrangements / statutory officers, and SLAs to be invited from all LAs from September 2018</p>	<p>Raised at Executive Board, to be revisited following the ERW Reform Programme once a more concrete idea has been obtained of what needs to be insured.</p> <p>Paper tabled for 8.2.19 Joint Committee as a reminder of current SLAs and in-kind arrangements.</p> <p>Preliminary discussions initiated by Lead Chief Executive via e-mail? Following ERW Review and Reform Programme, there will be a need for a formal period of submission of Expressions of Interest.</p>	Pembs Finance / Advisory Group

16/7/2018	<p>HR Proposals</p> <p>Agreed that the proposal to create the following 3 posts:</p> <ul style="list-style-type: none"> <li>- Business and Finance Manager</li> <li>- Head of School Performance and Leadership</li> <li>- Head of Support and Curriculum</li> </ul> <p>Be approved subject to</p> <p>i) the posts for Head of School Performance &amp; Leadership and Head of Support and Curriculum be amended to state “the ability to work through the medium of Welsh is <i>Essential</i> and at a proficient level on appointment.</p> <p>ii) The Job Descriptions and Job Specifications being considered further by Directors, Section 151 Officer and the HR Group (x6 one from each LA).</p>	<p>See below</p> <p>Agreed for Business and Finance Manager post to go ahead to advertisement. Advert to be shared at JC. <b>This is now under the remit of the ERW Review and Reform Programme.</b></p>	<p>Directors, Section 151 Officer, HR Group</p>
16/7/2018	<p>Risk Registers</p> <p>Agreed that further work to be carried out to complete the Risk Register, including reviewing risk scores</p>	<p>Completed, proposed alterations taken to Directors, comments made at Exec Board. Section 151 Officer presenting at 12.10.18 Joint Committee for circulation</p>	<p>ERW Central Team</p>

<p>16/7/2018</p>	<p>Financial Update 2018-19 :</p> <p>The action to be taken in the event of a Neath Port Talbot County Council not paying their share of the £250k Local Authority Contribution for 2018-19 be deferred to the next Joint Committee meeting</p> <p>That a formal letter from Welsh Government is sought to clarify the terms and conditions of the RCSIG grant</p> <p>Clarification to be sought from Welsh Government as to the offer/arrangements in respect of the £250k Welsh Government funding for the Review and Reform Programme, and with regards its requirements regarding return of any monies already spent</p> <p>The determination on how to replenish the ERW Reserves, either with a one-off contribution from the six Local Authorities or an increase in the existing £250k annual contribution be deferred to the next Joint Committee.</p>	<p>Tabled for discussion at October Joint Committee under Budget Report item.</p> <p>Letter Received, actions distributed</p> <p>Carry over assured by WG</p> <p>Tabled for discussion at October Joint Committee under Budget Report item</p>	<p>Lead Chief Executive, Monitoring Officer</p> <p>Lead Chief Executive / Lead Director / MD</p> <p>Section 151 Officer</p>
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16/7/2018	<p>Annual Governance Statement:</p> <p>Agreed the ERW Consortium Annual Governance Statement 2017-18 Management actions be completed by Lead Officers, an action plan identifying mitigating steps be circulated to the Joint Committee Members, for approval by 31/7/18</p>	Submitted in line with 31/7/18 deadline.	Section 151 Officer
16/7/2018	<p>Internal Audit Report:</p> <p>Agreed that the ERW Internal Audit Report 2017-18 be a standing agenda item on the Joint Committee</p>	<p>ERW Central Team liaising with Lead Chief Executive, Lead Director S151 officer and Carmarthen Democratic Services</p> <p>As mentioned above – Mitigation Plan to be circulated at 12.10.18 JC</p>	ERW Central Team
16/7/2018	<p>Internal Audit Assurance Opinion:</p> <p>To refer the matter to the three Lead Officers, (MD, Lead Chief Exec, Lead Director) to formulate a mitigation plan by next Joint Committee meeting;</p> <p>The Monitoring Officer to update the Legal Agreement, limited to reflect the current position, to include previous Joint Committee delegation decisions, and update of the Executive Board and Managing Director delegations (as set out in Legal Agreement).</p>	<p>Response to Internal Audit Report prepared, currently being considered by S151 Officer and Head of Internal Audit for further. Tabled for circulation only at 12.10.18</p> <p>Monitoring Officer leading on this work, following Advisory Board Meeting 24.9.18</p>	<p>Lead Chief Exec / Lead Director / MD</p> <p>Monitoring Officer</p>

	The ERW Legal Agreement to be added as a standing agenda item	ERW Central Team liaising with Lead Chief Executive, Lead Director, Monitoring Officer and Carmarthen Democratic Services.	ERW Central Team
16/7/2018	<p>Business Plan:</p> <p>To Instruct the Lead Director of Education and Managing Director to make improvements to the ERW Business Plan</p> <p>To Formulate a precis of the Plan to the Executive Board</p> <p>To forward the precis to all Members of the Joint Committee by e-mail, for agreement by 31<sup>st</sup> July 2018</p> <p>S151 Officers of all Local Authorities to be put on notice of the risk</p>	<p>Business Plan submitted to WG in line with 31/7 deadline.</p> <p>ERW SLT and LA Principal Challenge Advisers worked on final revised version including budgeting + low level action plans. Tabled for circulation at 12.10.18 Joint Committee</p> <p>151 Officers Notified by ERW Section 151 Officer of risks</p>	<p>Directors Group / Lead Director</p> <p>Section 151 Officer</p>

16/7/2018	<p>Accommodation – agreed that there was a need to renegotiate the current lease for a further period, pending progress of the ERW Review and Reform Programme and the National Model.</p> <p>The Lead Chief Executive, the Managing Director and Lead Director to consider the Health and Safety Aspects</p> <p>The Monitoring Officer to consider the legal position of the lease</p> <p>That Welsh Government buildings are not to be utilised by ERW staff in the event that alternative office accommodation is required in the future</p>	<p>Current Lease extension likely to be for a 12 month rolling period, negotiations ongoing</p> <p>Additional 3 office rooms recently became available at Y Llwyfan, negotiations for their lease ongoing. Hopefully this will mitigate some Health and Safety issues and provide space for holding meetings.</p> <p>Authorities have been contacted to attempt to source DDA compliant furniture that has been recommended for some members of staff at ERW offices.</p>	<p>Lead Chief Executive</p> <p>Monitoring Officer</p>
16/7/2018	<p>Correspondence to Scrutiny Councillor Group – Agreed that the draft letter of response be approved with additional references to be made that:</p> <p>i) The Review and Reform Programme was still in progress; and</p> <p>ii) that changes to the National Model were being monitored before final decisions could be taken in relation to any future ERW structures.</p>	<p>Letter sent</p> <p>Response from Scrutiny Councillor Group received – Lead Chief Exec + Chair invited to additional January meeting.</p> <p>Draft Response to be discussed as agenda item for JC 12.10.18</p>	<p>JC Chair + Lead Chief Executive</p>

16/7/2018	The Outline Report for Review and Reform of Governance Arrangements (item 9.3) be included within the ERW Review and Reform Programme	<p>Tabled for discussion by Directors on 21.9.18 and Executive Board 21.9.18</p> <p>Comments made at Exec 21.9.18, currently tabled for discussion by Chief Executives and Monitoring Officer</p> <p>Suggestion: Given the discussion needed on the scope, and structure of ERW, new governance arrangements should be developed in relation to the organisation that requires that governance. Therefore, it is suggested that Governance Arrangements be developed following a clear steer from JC.</p>	Directors
16/7/2018	GCSE Results – a further report to be presented to the Joint Committee at the next meeting, to include changes in accountability measures, and an Executive Summary from WG, with results	<p>Completed – scheduled for October Joint Committee</p> <p>Amendments suggested at Exec Board, now redrafted for Joint Committee Seminar. Ian Budd to lead on presenting. Report received.</p> <p>The changing accountability measures have been discussed by Welsh Government and Portfolio Holders/Leaders for Education. The reform of the measures is ongoing, with ERW, ADEW and headteachers participation.</p>	ERW Central Team



16/7/2018	Schools Causing Concern – AGREED that the consideration of the report with the inclusion of the additional work identified on schools improvement, be deferred until the next meeting	<p>Completed – scheduled for October Joint Committee</p> <p>Amendments discussed at Exec Board, included in a redraft for Joint Committee Seminar, Ian Budd to lead on presenting.</p> <p>Matters now included:  Nature of support allocated to schools  Further details on a school by school basis  Entry criteria of all Schools Causing Concern</p> <p>Given the highly sensitive nature of this work, it is suggested that Joint Committee receive an overview and Directors in each Authority are to ensure that Elected Members are briefed accordingly.</p>	ERW Central Team
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